

FALS – Asset Tracing Services

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| |  | | --- | | WHO WE ARE: FALS are the asset tracing and investigation specialists for legal and corporate clients seeking fixed fee investigations, pre-sue and asset trace reports with fast turnarounds. WHAT WE DO: We trace assets and gather crucial intelligence to inform your litigation strategy, bridge knowledge gaps, reduce risk and maximise recoveries. Our asset tracing and investigations services leverage advanced open source & human intelligence with specialist wealth profiling techniques and detailed forensic analysis. We recognise the intricacies of medium and larger sized claims and represent maximum investigative insight and case relevance whilst keeping scope and fees proportionate to the matter in hand. CASES: We regularly conduct asset tracing and investigations on matters spanning Commercial Claims & Disputes, Civil Litigation, Financial Fraud, Enforcement of an Order, Claims against a Director (including those on behalf of a Liquidator), Personal Injury, Employee Fraud, Unrepaid Loans, Investigation in respect of Bankrupt’s Assets (on behalf of a Trustee), Matrimonial and Enforcement of Personal Guarantees. FEES: We urge all legal and corporate clients, where appropriate, to discuss cases with us from the inquiry stage so that we can scope out research possibilities, advise on where we can add most value and, crucially, provide a fee estimate that is both proportionate to your case and adds maximum value. We do appreciate that this is not always an option though and so, with the benefit of having worked on hundreds of cases, we have developed the following report categories under which many asset trace investigations fall. ‘MOSAIC’ ASSET TRACE REPORTS: We have developed a suite of Asset Tracing products to suit various budgets or quantum / nature of claim. In addition to tracing specific assets, the reports seek to help clients to manage certain other risks inherent in litigation / enforcement and exploit cost effective opportunities to maximise the scope for recovery through insight and intelligence.  We do that by, in varying case-specific degrees, assessing matters through the prism of Asset Tracing, Wealth Profiling, Modus Operandi and Contextual Analysis.  We recognize that there is no “one size fits all” solution and we treat every case on its individual merits and requirements. Nevertheless, our intention for the information that follows is to provide a steer, at least, for costs as well as a very broad guideline for investigation in the absence of a more formal scoping exercise.  With that in mind, our ‘Mosaic’ Asset Tracing products meticulously piece together our findings from a myriad of sources to provide an overall picture of the subject, circumstances, and context. For relatively basic pre-sue case, for example, our remit may be to:   * Trace physical assets such as residential, investment or commercial property, holiday homes, cars and vehicles, inheritance, private limited company business interests and beneficial ownership searches * Conduct wealth profiling analysis so their lifestyle, background, family, trading history, solvency history, employment, and social media analysis * Perform solvency due diligence through judgment, bankruptcy, credit, and other proprietary database searches   Beyond these basic investigations, and moving through the Mosaic levels, we assist on more complex cases trace additional assets (beyond the “low hanging fruit”) and provide intelligence to bridge knowledge gaps. | | ‘MOSAIC’ PRICE & SERVICE GUIDE: | | | | | | |
| PINPOINT MOSAIC | | SNAPSHOT MOSAIC | FOCUSED MOSAIC | ENHANCED MOSAIC | INVESTIGATION / INTERNATIONAL MOSAIC |
| Generally recommended where the primary focus is to trace the whereabouts of the subject | | Generally recommended for claims of up to £80,000 | Generally recommended for claims of up to £250,000 | Generally recommended for claims in excess of £250,000 | Generally recommended in bespoke matters |
| - Discreet address and property database enquiries - 1 expert analyst - Investigation on one key subject - Email report with supporting document(s) relating to the traced residential address | | - Discreet tier one\* OSINT enquiries - 2 expert analysts working on the case - Asset trace investigation on one key subject and current partner/spouse - Forensic analysis of most pertinent current corporate interest(s) - Focus points Mosaic executive analysis and summary - Written report with supporting documents - Email updates on progress and key findings | - Discreet tier one & two\* OSINT enquiries - 2 to 3 expert analysts working on the case - Asset trace investigation on key subject, current partner/spouse and immediate family - Forensic analysis of current corporate interest(s) and pertinent previous interest(s) - Human intelligence enquiries - Site attendance and pre-text enquiries - Focus points Mosaic executive analysis and summary - Written report with supporting documents - Regular email/telephone updates on progress | - Discreet tier one, two & three\* OSINT enquiries - 3+ expert analysts/investigators working on the case - Asset trace investigation on one or two key subjects, current partner/spouse, immediate family and close associates - Bank account searches *(subject to GDPR conditions being satisfied)* - International database enquiries - Potential for multijurisdictional source enquiries - Forensic analysis of current and previous corporate interest(s) - Human intelligence enquiries - Site attendance and pre-text enquiries - Focus points Mosaic executive analysis and summary - Written report with supporting documents - Enhanced report output - tabulated results & charts - Regular email / telephone updates on progress  - Case debrief | - To be determined as per the specific scope of investigation |
| £400 | | £500 | £900 | £1,500 | POA |

# *\*OSINT TIERS:*

***Tier One*** *OSINT sources are essential public and proprietary datasets and may include: Voters Roll Records, Consensual Marketing Data Footprints, Current Active Credit File Footprints, Land Registry, Landline, Mobile & Ex-Directory (hidden) Telephone Records, Corporate Records, Other Proprietary Database Sources, Social Media, County Court Judgment Databases, High Court Judgment Databases, Bankruptcy Searches, Proprietary Database Shareholder Searches, Historical and Concurrent Credit File Footprints, House Prices Information Database Checks, Property Company Reverse Land Registry Enquiries, Probate / Inheritance, Company Credit Files and Accounts Analysis, Genealogy Databases & Family Searches, Interim Charging Orders / Property Charge Databases, Insurance Data Indicators, One-the-ground Enquiries, Historical HM Land Registry searches, Corporate Payment Data, Risk Scores, Government Databases, Data Leaks, Royal Mail Forwarding Database, Vehicle Finance Enquiries, International Corporate Databases, Regional News, Business Publications.*

***Tier Two*** *sources may sit behind paywalls, require more advanced data mining/analysis or represent more time intensive investigations such as: Deep Internet, GRO enquiries, Human Intelligence / Source Enquiries, Third Party Credit Agency Enquiries, Local Language News Sources, Vehicle Finance Enquiries, Specialist Paywalled Data Repositories, Archived Domain & Website Registration, Archived / Hidden Corporate Data, Archived Voters Roll Footprint Searches, Local Authority Planning Application, Specialist Employment Checks.*

***Tier Three*** *sources, enacted by specialists in their respective fields, require individual expert manipulation, the use of technology or AI, and/or sit behind advanced paywalls. These include: Dark Web, Engagement of Advanced Machine Learning and Artificial Intelligence Algorithms, Physical Attendances, Pre-Text Enquiries, Bank Account Searches (subject to GDPR conditions being satisfied), International Database Searches, In-Person Registry Searches, Physical Asset Identification, Large Data Gathering and Analysis Software.*

# DIRECTOR OF ASSET TRACING:

**ROB HILLS -** Open Source Investigations & Intelligence Specialist

Since beginning his career with Kroll over 12 years ago, Rob has honed his skills in the manipulation of open source intelligence, investigation and analysis.

He specialises in intelligence-led desktop and local source forensic investigation in the context of both discrete stand-alone instructions and project implementation. As a result, our range of Mosaic Asset Tracing Reports benefits from his unique skillset and vast experience of working on literally hundreds of UK and International Asset Tracing cases.

As well as contentious civil litigation, pre-sue and fraud investigation, Rob’s experience also spans business intelligence, KYC / AML, compliance projects and other due diligence, all of which feeds into the scope of our investigations.

