



CAPABILITY STATEMENT

**RESOURCE
RELIABILITY**



We set the benchmark
in equipment reliability
and service, whilst our
agility and capabilities
enable us to work
collaboratively under
different models
to deliver optimum
project outcomes.





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OUR VISION
We have a vision to be the best mining services company respected for adding value to projects around Australia.



ABOUT SMS MINING SERVICES

Our core purpose is to work together with our clients to deliver project profitability and to maximise the return on investment.

Founded in 2000 as Sweeney's Mechanical Services, SMS provided maintenance, refurbishment, sales and service support for heavy duty earthmoving. In 2007, rental hire services were added to the portfolio and the company's name changed to SMS Rental, before evolving to SMS Innovative Mining Solutions. In 2016, the contract mining division was formed to deliver an end-to-end service offering. To reflect this, we evolved to SMS Mining Services.

Today, SMS Mining Services is a part of a much larger and stronger group of companies. In 2018, SMS Mining Services merged with the Rivet Group. Rivet is an Australian provider of specialised transport and logistics solutions.

Our vision

We have a vision to be the best mining services company respected for adding value to projects around Australia.

We will do this by providing safe, innovative and quality value-adding services and equipment. Our core purpose is to work collaboratively with our clients, adapting our systems to suit the specific requirements of each mining operation in order to maximise the return on investment.

Resource reliability

SMS Mining Services employs over 400 people, led by an experienced and hands-on leadership team. We are approachable and accountable with a culture built on the delivery of excellence in product and service and the fulfilment of projects safely and to a high level.

What we deliver is:

- rapid mobilisation
- proven commercial and operational flexibility
- a high level of service and quality
- a reduction of risk
- partnership
- project and relationship synergies.

"SMS is a company that works with you – a strength as a contractor enabling the best results to be delivered for a client. They come back with ideas on how to reduce costs and see it as one of their key responsibilities – the delivery of value.

They are a big company with a small company feel. They are growing however have maintained the accessibility of their senior management team with quarterly reviews in place. They are open and honest in negotiations and deadlines, and professional in their approach."

Rob Ryan, General Manager, Norton Gold Fields



COMPANY PROFILE



FACILITIES

Our office and workshop facilities are strategically positioned in three mining hubs and are fully equipped with on-call spare parts with rental and maintenance teams at each facility.

We have a comprehensive fleet supported by an experienced team of site and workshop based staff to provide the resources and flexibility for projects so that our clients can focus on their core operations.

PORT HEDLAND, WA

Located close to road, rail and port infrastructure, allowing support for up to 1,000km radius reducing downtime.

- Workshop
- Storage yard
- 14,000m² facility

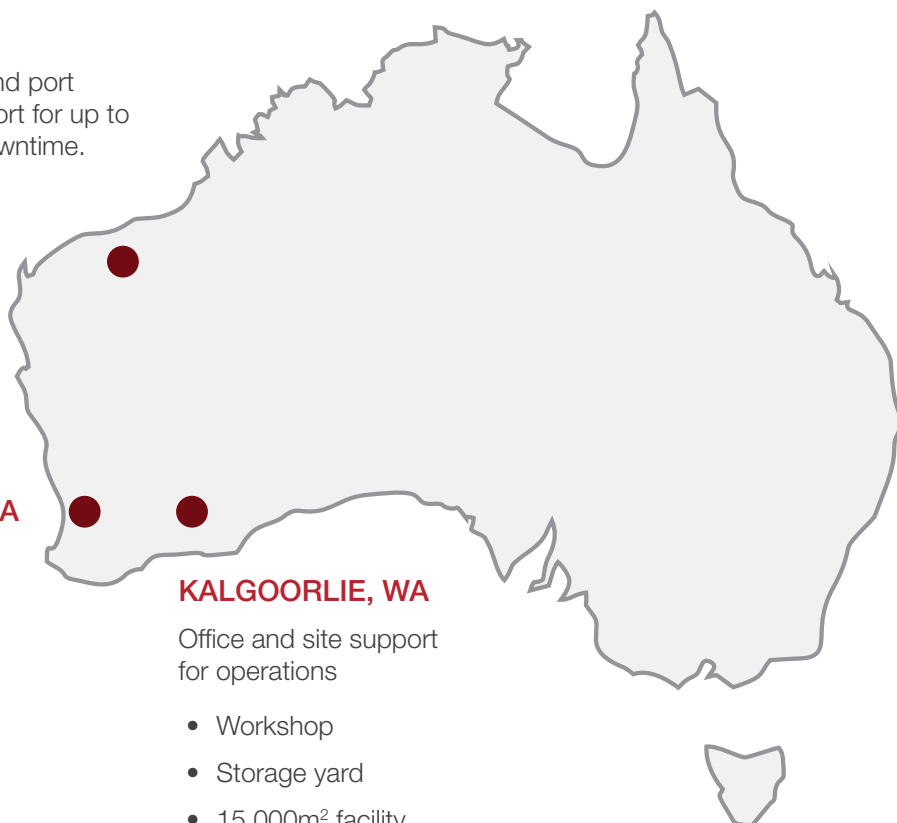
WELSHPOOL, WA

- Head office
- Workshop
- Storage yard
- 5,000m² facility.

KALGOORLIE, WA

Office and site support for operations

- Workshop
- Storage yard
- 15,000m² facility.



FLEXIBLE BUSINESS MODEL

We have the ability to work under flexible business models, optimising client outcomes through tailored solutions.

SMS Mining Services has a track record of enhancing productivity and value, whilst optimising ROI for our clients. We have the capability to combine our services in repairs, maintenance and contract mining with access to our fleet, capital and labour.

Our work is based on the principles of innovation, service, corporate transparency and hard work; supported by our values.

What we offer is:

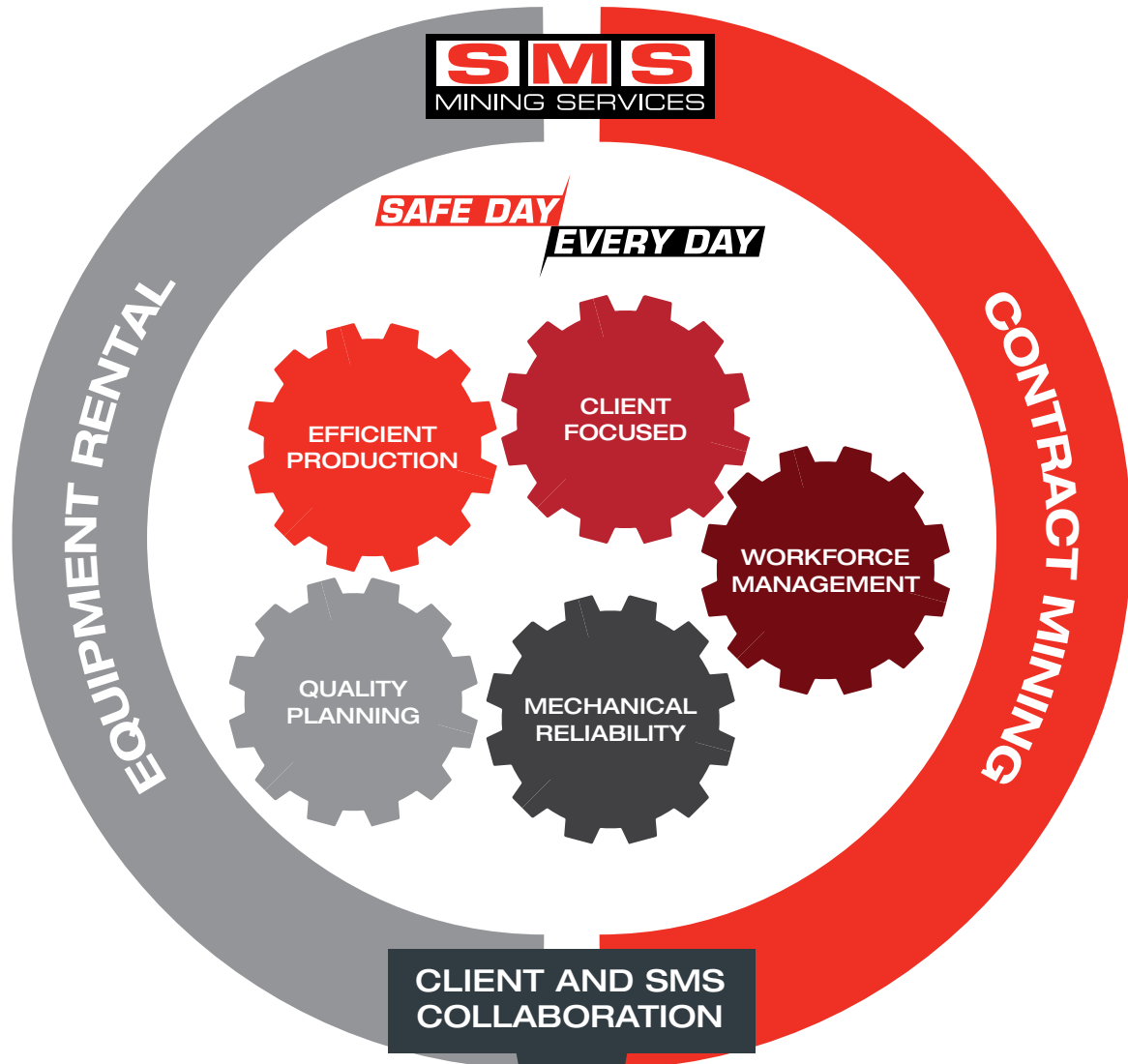
- early and on-going client engagement – enabling better solutions and the provision of comprehensive and transparent advice on the best solutions
- innovative, effective mining solutions
- trusted, long term relationships
- value added services as opposed to a focus purely on the cost of service
- agility and responsiveness, working in collaboration with our clients
- our ability to be nimble and flexible, which in turn translates to productivity and optimum ROI for our clients.

We have successfully completed projects where we can demonstrate increased production and improved efficiencies whilst maintaining a safe operation.

OVER 18 YEARS OF PROVEN EXPERIENCE



OPTIMISING CLIENT OUTCOMES THROUGH TAILORED SOLUTIONS



DRY RENTAL
 FULLY MAINTAINED DRY RENTAL
 FULLY MAINTAINED WET RENTAL
 MAINTENANCE AND REPAIR
 CONTRACTS
 WORKSHOPS

MINE PLANNING
 PRODUCTION OPERATIONS
 MATERIALS HANDLING
 DRILL AND BLAST

OPTIMAL SERVICE SOLUTIONS - TRANSPARENCY AND OPTIONALITY - MINE
 PLANNING OPTIMISATION ALIGNMENT OF SMS AND CLIENT - VALUE ADD



SETTING THE BENCHMARK IN EQUIPMENT RELIABILITY

EQUIPMENT RENTAL

We have one of the largest rental fleets in Australia which includes dozers, loaders, excavators, graders, dump trucks, water trucks, on-highway vehicles, light vehicles and ancillary equipment.

SMS Mining Services are mining equipment rental specialists. We continue to invest in our fleet to deliver fit for purpose equipment and contract flexibility to our clients so that they can mine productively.

We support this with fully equipped workshops and experienced teams of site and workshop based staff to provide the resources and flexibility for projects so that our clients can focus on their core operations.

The ability to scale up or down easily

Fleet rental enables flexibility and alleviates the need to invest heavily in capital expenditure for mobile mining equipment.

We understand the critical importance of equipment reliability and to have the right machinery at the right time. Our rental fleet is maintained to high standards to minimise downtime and maximise productivity.

Fully maintained dry rental

SMS Mining Services offers a fully maintained, dry hire rental solution with our maintenance team integrated seamlessly onsite to manage and maintain our equipment. Permanent onsite or field service is available based on requirements.

Fully maintained wet hire rental

Our experienced and professional operators are machine assessed for competence and are experienced in different scopes of works and varied methodologies and commodities.

Our operators share our company commitment to safety and quality of service, with a focus on adding value to our client operations.

Dry rental

A pure dry hire rental solution enables our clients to have the ability to retain full control over the management and maintenance of all onsite equipment – with the inclusion of additional SMS equipment as required.

Client operators and maintenance personnel will have available to them SMS's back-up assistance and services from our workshops. They will also have 24/7 support from SMS to assist with any breakdowns and field service situations.

Fleet procurement and component sales

SMS Mining Services offers a unique equipment procurement service to our clients. We can leverage our networks and experience in procuring quality, low-houred, well-maintained and/or re-conditioned machines and parts to offer an outright purchase alternative.

"SMS offer a unique service with their ability to leverage their experience and relationships to assist in the acquisition of appropriate equipment on behalf of the company. They are highly responsive helping us gear up with equipment when a project needs it."

As a company to work with, the team is adaptable and flexible; and when issues or challenges arise, they work hard to come up with a solution. We have a strong relationship with the company, with the ability to talk directly with the owners when needed."

Lee Stephens – GM Thunderbox, Saracen



MARC CONTRACTS

SMS's Maintenance and Repair Contracts (MARC) offers full flexibility in the ability to outsource the management of all mobile mining equipment maintenance.

Maintenance and repair is essential to enhancing machine performance and reliability, therefore optimising production. SMS Mining Services can fully tailor MARC contracts to allow our clients to concentrate on running the operation.

Key benefits include:

- enhanced machine performance and reliability
- optimised production
- increased availability with a guaranteed cost per hour
- payload management
- reduction in the cost of maintenance.



"As a company to work with, the team is adaptable and flexible; and when issues or challenges arise, they work hard to come up with a solution."



MAINTENANCE

We set the benchmark in equipment reliability and service and deliver to well above industry standards for complete machine refurbishments.

SMS Mining Services has three fully equipped and strategically located workshops providing a comprehensive range of mining fleet maintenance services.

Fundamental to our maintenance strategy is the use of industry leading asset management systems with a priority focus on timely, preventive maintenance activities. This approach helps to ensure that operating plant and equipment is maintained in accordance with accepted industry standards.

SMS Mining Services offers the following services:

- mechanical
- fabrication
- electrical
- blast and paint
- 24/7 field service
- tyre truck.



LOAD AND HAUL

SMS Mining Services offers a total mine to mill solution.

We own, operate and maintain a large fleet of modern mining equipment to provide a complete load and haul service.

We support our load and haul service with leading business and mine systems, mine plan safety and efficiency. Our earthmoving fleet includes excavators, dump trucks and other heavy plant.

DRILL AND BLAST

SMS Mining Services offers a quality, innovative and reliable drill & blast service.

We offer the latest in technology and service across our operations, backed by a well maintained, late model drill & support fleet.

Our capabilities include:

- experience across multiple commodities including gold, nickel, coal, iron ore and lithium
- drill and controlled blasting for civil infrastructure projects, where rock excavation is required in close proximity to existing infrastructure. This includes rail lines, roads, pipelines, powerlines, bridges, building and general infrastructure and quarries.

Drill

- Production
- Pre-split
- Contour and pioneering
- RC grade control.

Blast

- Tailored charging and blasting solutions
- Explosive supply service
- Optimised blast design to deliver fragmentation efficiency, reducing downstream production cost
- Highly skilled blasting specialists
- Blast vibration and overpressure monitoring
- Tight control processes and safety procedures.



WE HAVE A
TRACK RECORD
OF IMPROVING
PRODUCTIVITY
AND DELIVERING
VALUE WHICH IN
TURN OPTIMISES
PROFITABILITY FOR
OUR CLIENTS.

**DRILL AND BLAST
LOAD AND HAUL
CRUSHING AND SCREENING**



"Genesis Minerals contracted SMS Mining in August 2016 to deliver the load and haul requirements for the Ulysses Gold project. SMS mobilised quickly and efficiently, commencing works on the site within three months. As with all open pit projects, the requirements are constantly challenging and changing. We couldn't have asked any more of SMS. Their team worked well with the Genesis team. They got down to work and delivered what they said they would. As a result, the job was completed safely, on budget and on time."

Working with SMS allowed us to de-risk the overall project, enabling us to extract maximum value from the pit and open up opportunities. I would recommend SMS as a good partner and team to work with."

**Michael Fowler – Managing Director,
Genesis Minerals**

CRUSHING AND SCREENING

SMS Mining Services provides a range of mobile crushing and screening solutions.

We specialise in tailoring solutions to meet the diverse range client requirements through our late model, well maintained mobile fleet.

Services include:

- ore crushing
- stemming supply
- rail ballast
- road building materials.

Our crushing and screening equipment includes:

- primary jaw crushers
- secondary cone crushers
- a variety of screening equipment to suit required applications.



A DIVERSE AND MODERN FLEET



DUMP TRUCKS

40 – 240 TONNE



EXCAVATORS

35 – 600 TONNE



DOZERS

45 – 105 TONNE



LOADERS

100kW – 1100kW



GRADERS

100kW – 400kW



WATER TRUCKS

15 – 140kl



SUPPORT

LARGE FLEET OF SERVICE TRUCKS
AND SUPPORT EQUIPMENT

OUR PEOPLE



OUR PEOPLE

Putting people first.

SMS Mining Services has successfully completed projects where we can demonstrate increased production and improved efficiencies whilst maintaining a safe operation. We recognise that our people are our most important asset and critical to our ability to deliver on our objectives.

We have an experienced management team of respected mining professionals who are hands-on, approachable and supportive to our teams.

Communication is also important to us, both internally and externally. We are always getting better at things and with feedback, we are constantly looking at improving.



VALUES THAT GUIDE US

We have a culture built on the foundation of our values. We are progressive, responsive and agile. Together, we work as a team with our clients to deliver project profitability.

Our values have been founded by our people and for our people. It is through our people that our company continues to grow and how we maintain our reputation as a leader in the industry.

Safety and family are at the core of our culture. We encourage our teams work with integrity to have the courage to innovate, grow and be accountable.



SAFETY

A safe day every day



SMART

We are progressive
We are prudent



COURAGE

We speak up
and take action



INTEGRITY

We do what's right



ACCOUNTABILITY

We own our results



TEAMWORK

We work together



SAFE DAY
EVERY DAY



SAFE DAY. EVERY DAY.

Safety is a core value at SMS and it takes unconditional priority.

The principles of risk management and continuous improvement are fundamental to having a 'Safe Day, Every Day'.

SMS's commitment to safety is ongoing. Our HSEC Management System is in alignment to ISO 45001 and has delivered year on year improvements in safety performance.

Our Risk Management strategies are based on the requirements of ISO 31000 Risk Management Principles and Guidelines.

We have in place strategies and plans which include:

- Safety management
- Environmental management
- Traffic management
- ICAM investigation process
- Tagging and isolation procedures
- Hazard and Job Hazard Analysis (JHA).

Safety is a continuous journey - one that we invest in and support.

We are committed to achieving the highest quality standard in Occupational Health and Safety.

As part of our safety policy, we will actively promote and involve employees in the review and improvement of the company's health and safety management systems; and continuously review the working environment, equipment and methods to further improve health and safety of our teams.

Wellness and Injury Management

We see people as our most valuable asset. This includes the health and wellbeing of our teams. We actively promote healthy lifestyles through a number of initiatives and wellness programs for all staff. To manage this, SMS has appointed a full time Health and Wellbeing Officer and Injury Management Coordinator who both play a critical role in building a strong two way communication channel with all our sites.



SAFETY

A safe day every day



QUALITY MANAGEMENT

Integrated quality management systems to deliver a consistent and reliable service.

We work hard to deliver and protect our reputation for setting the benchmark in equipment reliability and service; and to well above industry standards in rebuilds.

SMS's Quality Management strategy focuses on:

- building adequately trained and well-resourced teams
- policies and processes that support consistency in work output
- systems and procedures that set clear standards and detect non-compliance
- a culture of integrating quality management in the way we work
- conformance of suppliers and subcontractors
- continuous improvement actively seeking performance feedback.

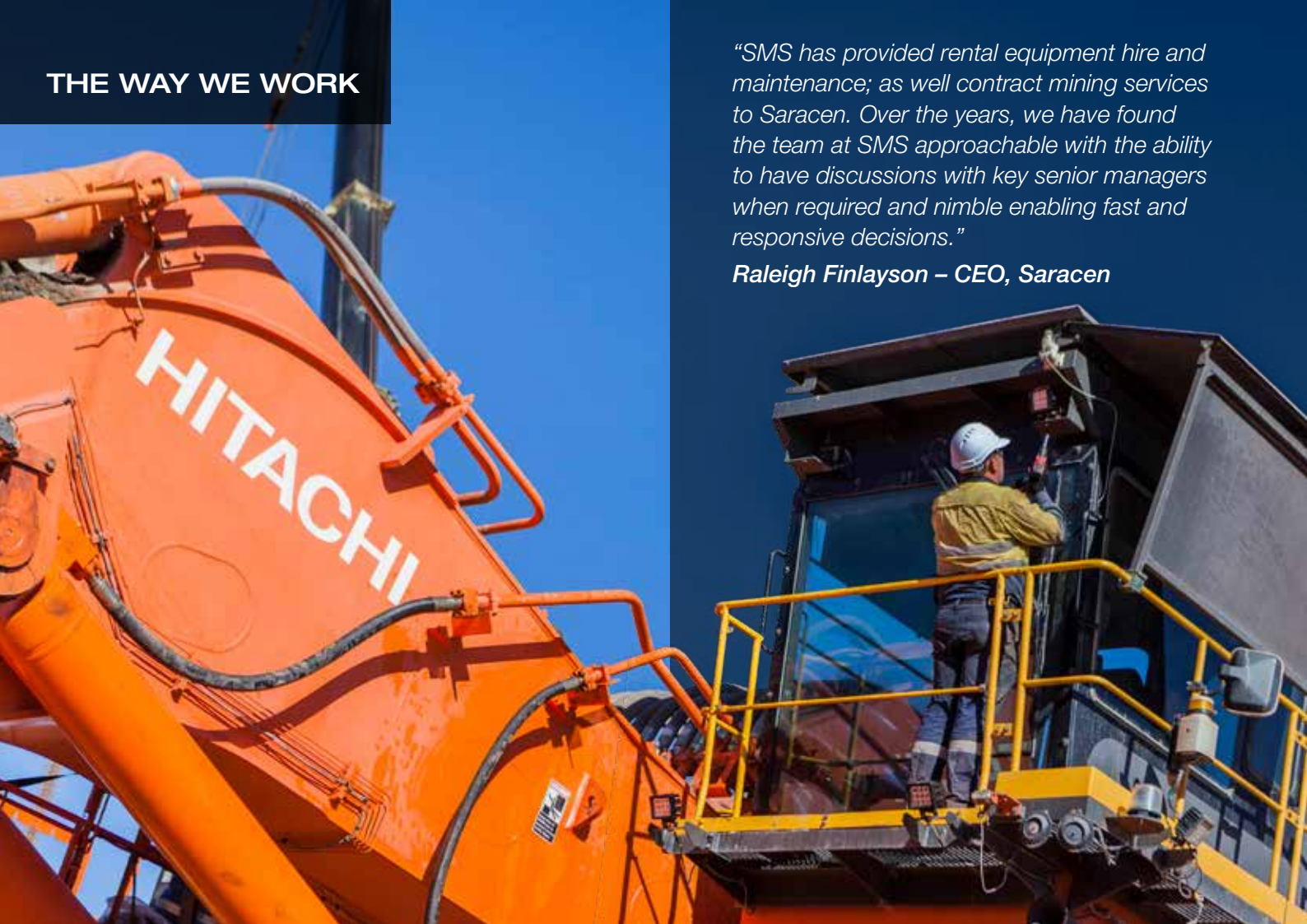
The SMS Quality Management System is conducted in accordance with ISO 9001 standards. It includes:

- set of objectives and targets
- detailed risk analysis
- detailed responsibilities and authorities
- nominated quality controls to be implemented.

Quality policy

We are committed to:

- communication ensuring that our policy and procedures, and roles and responsibilities are clearly understood internally
- provide the necessary resources to meet our objectives that comply with statutory and regulatory standards, codes, certification and contractual obligations
- act quickly and assuredly where there are any concerns to ensure we meet client key performance indicators
- effectively measure, monitor, analyse and review all business areas to promote continuous improvement
- capture suggestions from clients and staff to improve the effectiveness of our business
- management systems
- review and revise our quality policy and procedures to ensure they remain relevant to the organisation's goals and objectives.



"SMS has provided rental equipment hire and maintenance; as well contract mining services to Saracen. Over the years, we have found the team at SMS approachable with the ability to have discussions with key senior managers when required and nimble enabling fast and responsive decisions."

Raleigh Finlayson – CEO, Saracen

INNOVATION

We continue to invest heavily in innovation, systems and technology to support improved mine operation profitability, equipment reliability and control capital investment.

SMS Mining Services has a track a record of enhancing productivity and value, whilst optimising the return on investment for our clients. Supporting this is continuous improvement and a culture that has innovation as its core.

Innovation and a solutions mindset is something that we encourage and reward. This is backed by:

- management commitment and accountability
- a culture of awareness and communications
- identification of project risks
- appropriate training to all employees
- safe systems of work including leading software for compliance and reporting
- the analysis and evaluation of trends with the objective of continuous improvement.



BUSINESS SYSTEMS

Our systems and processes are fundamental to providing accuracy, transparency and control.

SMS Mining Services has the capacity to work within vigorous frameworks and deliver successful systems integration. We have achieved approved vendor status for large mine owners and contractors nationally. This has involved extensive information exchange, audits and compliance. We have the capability and governance systems to address compliance requirements and to integrate smoothly with client systems.

Core business systems

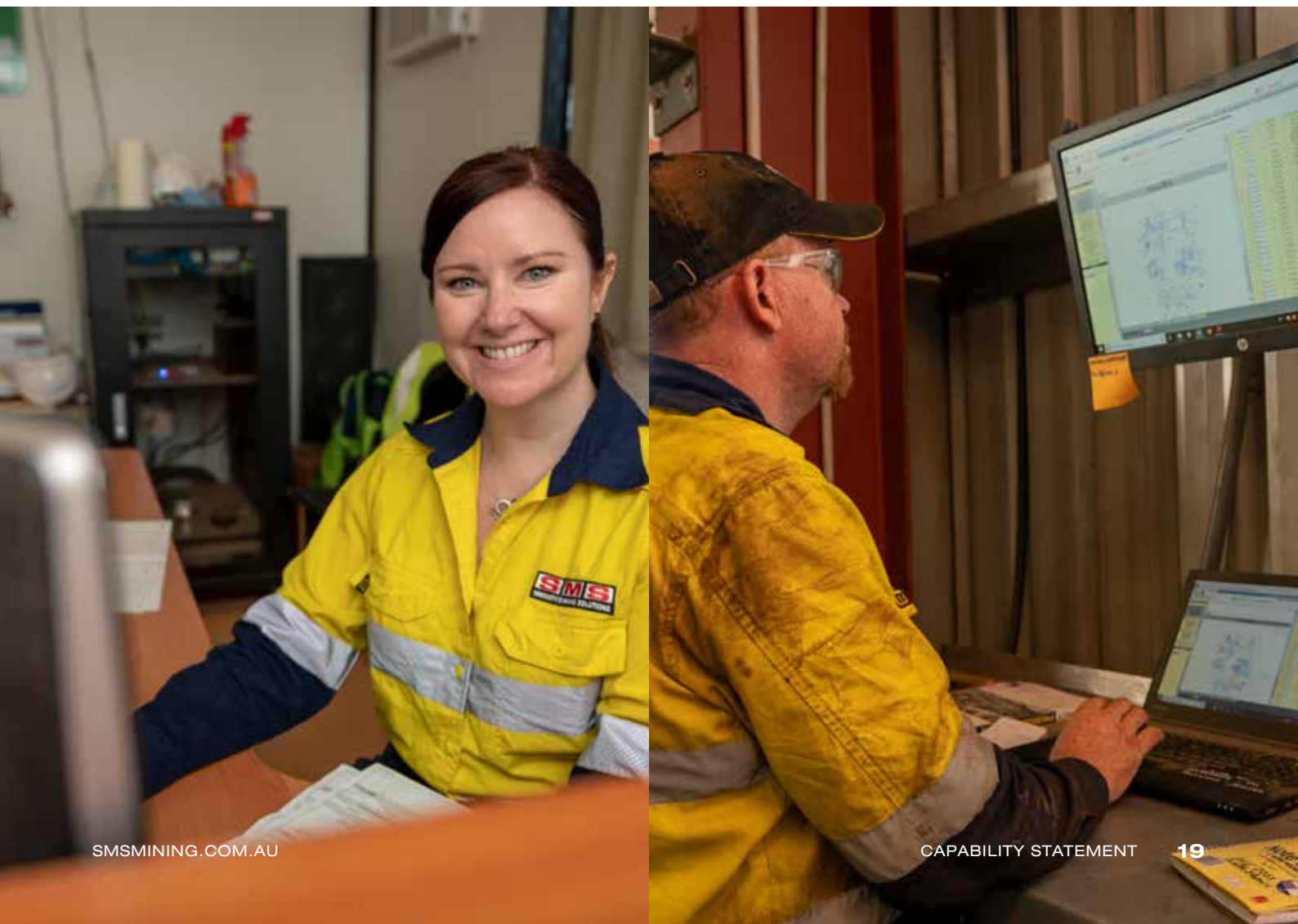
Our business systems are integrated providing single points of entry in QHSWE, asset management and financial control.

MANGO – QHSE

MANGO is an integrated solution to control and maintain all aspects of quality, health and safety, and environmental management. It provides the one entry point to access data, documentation and reporting across the business in the QHSE area. Data input is standardised across each project with an emphasis on usefulness and accuracy; and allowing better analysis and control.

The software includes:

- accident and incident management as well as compliance. It helps us to identify high volume and risk areas and track their root causes, linking it to the improvement module for the development of preventative measures
- audits and inspections making compliance easier
- reporting including charts and system statuses which allows for easier analysis to identify trends and areas of improvement
- plant and equipment maintenance and reporting. Failure to properly track, record and maintain our plant and equipment can be detrimental to not only our bottom line but our reputation and the safety of our teams
- documentation and risk management including health and safety. The software will help in identifying, recording and controlling risk, along with the regular checking of identified risk controls
- a legal register, QHSE business and planning manuals and full document control ensuring the right employees are using the correct and current versions.



AMT Asset Management

AMT is an end-to-end asset management portal which brings reporting, decision making support and work management under the one monitoring, productivity and financial management Enterprise Resource Planning (ERP) system.

Central to this are efficient processes and smarter decision making. Benefits include:

- increased efficiency - an end-to-end asset management processes
- reduced asset life cycle costs - maintenance strategy optimisation enables proactive risk management, fewer component failures and lower life cycle costs
- accurate budgets - improved accuracy and consistency in the preparation of budgets and the ongoing evaluation of performance against budgets
- resource planning - consolidated forecasting of component and part requirements, labour, performance and availability.

Greentree

Greentree is a multifaceted financial management system with integrated business management tools that enable full visibility and control over performance and costs, as well as management of operations.



END-TO-END ASSET MANAGEMENT PROCESS





OUR ENVIRONMENT

We are committed to the sustainability of our physical environment and it is our objective to minimise the environmental impact of our activities.

At SMS Mining Services, we understand that responsible environmental management plays a significant role in ensuring the sustainability of our industry.

Our values reflect our accountability and need to be prudent when using precious resources. We encourage SMART and progressive solutions and we own our results.

- We engage early with the communities in which we operate regarding potential impacts to the physical environment around them
- Our teams receive comprehensive and ongoing training for maintaining environmental controls within their areas
- We encourage reduction, reuse and recycling in our operations.

Environmental policy

The SMS Mining Services' environmental policy states that everyone within the company is responsible for the maintenance of effective environmental controls within their assigned work area. Any hazardous conditions, unsafe acts or unsafe equipment will be reported to immediate supervisors and clients.

Key objectives central to our policy:

- In order to protect the environment from pollution or contamination, employees shall not dump, release or otherwise discharge or dispose of any material at the worksite which adversely affects the environment
- Any release of hazardous substances into the environment, whether to air, water or ground must be reported immediately to the site supervisor who will promptly notify the client
- All environmental incidents shall be subject to detailed investigation by the approved investigation technique
- Dispose of all waste in an environmentally friendly manner by recycling or other accepted industry practices.



OUR COMMUNITY

A commitment to support the communities in which we work.

SMS Mining Services values the relationships that we’ve built in the industry and the communities in which we work in. We have a strong history of recruiting and developing people in local communities.

Each year, we support many local charities, events and programs, community and sporting groups providing us with opportunities to give back to communities. We also have a Buy Local Policy to support local industries and businesses.

Indigenous support

We are a founding partner of the Murupirramarra Connection - a not-for-profit organisation that exists to provide Aboriginal youth (aged between 12 to 18 years) in the remote communities of Wiluna, Leonora and surrounding regions in Western Australia with educational opportunities. We are also proud sponsors of Ngala which supports parents, families and communities to enhance the wellbeing and development of children and young people.



- Major sponsor of local sporting and community events
- Sponsorship of local schools
- Local training graduate & apprenticeship programs
- Sponsor of WA Mining Club
- Principal sponsor of WASMA (WA SCHOOL OF MINES ALUMNI)
- Support of various charities
- Assist local business startups & Buy Local policy
- Focus on local employment

"As the Regional Contracts Manager for Norton Gold Fields Limited from 2013 to 2018, my role involved considerable interaction between Norton and SMS Rental & SMS Innovative Mining Solutions.

SMS bid and won the Maintenance & Repair Contract (MARC) in September 2013, against a strong and competitive field of bidders. SMS managed the maintenance and repairs of Norton's Open Pit Mining fleet totaling over 30 pieces of earthmoving and mining equipment. In 2017 SMS won the MARC re-tender, and was awarded a Contract extension for a further two years.

Since 2013, SMS have demonstrated their professionalism, loyalty and commitment to Norton, with an exceptional track record in safety, quality, productivity and business improvement.

SMS worked tirelessly with Norton to assist in cost reduction when the gold price dropped in 2015, which allowed Norton to continue mining its operations in a competitive manner.

In 2016, 2017 & 2018, SMS bid and won full turnkey Mining Services Contracts and other earthmoving equipment rental Contracts with Norton. SMS always provide high quality rental fleet at exceptional value, with strong back up support to ensure the reliability and utilisation of the fleet meets and exceeds the customer's requirements.

I have no hesitation in recommending SMS as a quality and reliable service provider in all aspects of mining fleet repair and maintenance, rental fleet supply and maintenance, and full turnkey mining services projects."

Gil BurrIDGE – Regional Contracts Manager, Norton Gold Fields



**"I have no hesitation
in recommending SMS
as a quality and reliable
service provider."**



Head Office & Workshop
96 Ewing Street, Welshpool,
Western Australia 6106

Port Hedland Workshop
3 Peawah Street, Wedgefield,
Western Australia 6721

Kalgoorlie Workshop
17-21 Kinclaven Way, West Kalgoorlie,
Western Australia 6430



(08) 9277 5177



(08) 9277 5166



admin@smsrental.com.au

SMSMINING.COM.AU
PERTH | KALGOORLIE | PORT HEDLAND

SAFE DAY
EVERY DAY